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PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/688,650
Filing Date	October 17, 2003
First Named Inventor	Nollert, Peter
Art Unit	1743
Examiner Name	
Attorney Docket Number	018062-003130US

Total Number of Pages in This Submission

5

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form
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<input checked="" type="checkbox"/> Information Disclosure Statement
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
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Townsend and Townsend and Crew LLP

William F. Vobach

Reg. No. 39,411

Signature

William F. Vobach

Date

October 18, 2004

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PATENT
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OCT. 18, 2004

TOWNSEND and TOWNSEND and CREW LLP

By:

[Signature]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter Nollert, et al.

Application No.: 10/688,650

Filed: October 17, 2003

For: METHOD AND APPARATUS FOR
PREPARING LIPIDIC MESOPHASE
MATERIAL

Examiner:

Art Unit: 1743

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

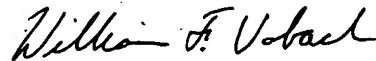
This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William F. Vobach
Reg. No. 39,411

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WFV:klb



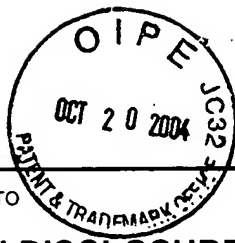
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/688,650	
			Filing Date	October 17, 2003	
			First Named Inventor	Nollert, Peter	
			Art Unit	1743	
			Examiner Name		
Sheet	1	of	2	Attorney Docket Number	018062-003130US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-3,974,832	08-17-1976	R.E. Kruck	
	AB	US-4,743,229	05-10-1988	G. Chu	
	AC	US-5,908,054	06-01-1999	J.H. Safabash et al.	
	AD	US-5.957,166	09-28-1999	J.H. Safabach	
	AE	US-			
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FOREIGN PATENT DOCUMENTS								
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AU	GB	1031427		08-08-1963	American Home Products		<input type="checkbox"/>
	AV	DE	3102142	A	08-12-1982	Herlitze, Gerhard, Ing.		<input type="checkbox"/>
	AW	EP	01481116	A	07-10-1985	Debiopharm S.A.		<input type="checkbox"/>
	AX	WO	96/391125	AI	12-12-1996	Univ. of Nebraska		<input type="checkbox"/>
	AY	WO	99/04761	AI	02-04-1999	Andersson Mattias; Pharmacia & Upjohn AB		<input type="checkbox"/>
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **2****Complete if Known**

Application Number	10/688,650
Filing Date	October 17, 2003
First Named Inventor	Nollert, Peter
Art Unit	1743
Examiner Name	
Attorney Docket Number	018062-003130US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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